P01004US2A(P314)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In the application of |) |
| GEORG G.A. BÖHM, WILLIAM L. HERGENROTHER, CHRISTINE M. RADEMACHER, CHRISTOPHER G. ROBERTSON |) CERTIFICATE OF MAILING) I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 14, 2003 |
| Serial No. 10/632,344 | |
| Filed August 1, 2003 | Kimberly A. Bright, Serve to Arthur M. Regineril |
| For MULTI-FUNCTIONAL POLYMERS | |

TRANSMITTAL SHEET

Enclosed are the following documents:

Information Disclosure Statement (w/attached Certificate of Mailin g)
Form PTO-1449 (one sheet)
One Reference
Return Receipt Postcard

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 06-0925.

Respectfully subminted,

Athur M. Reginelli, Reg. No. 40,139

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November 14, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the application of
GEORG G.A. BÖHM,
WILLIAM L. HERGENROTHER,
CHRISTINE M. RADEMACHER,
CHRISTOPHER G. ROBERTSON

Serial No. 10/632,344

Filed August 1, 2003

For MULTI-FUNCTIONAL POLYMERS

CERTIFICATE OF MAILING

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Novemby 14, 2003

Kimbarly A. Bright, Secv. w 7thu/M. Reginelli

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT 37 C.F.R. § 1.97(b)

Sir:

Applicants submit this paper to introduce art that they wish to have the Examiner consider. 37 C.F.R. §§ 1.56 & 1.97.

The art has been listed on the attached modified PTO Forms 1449. This includes five U.S. patents, and one reference (enclosed). Copies of the U.S. patents have not been submitted pursuant to 37 C.F.R. § 1.491(b).

No representation is made that a specific search has been made by the Applicants, that the information is material to the claimed subject matter, or that the information is non-cumulative, or that the information represents the only or the best information. The Applicants do not admit that any of the information they have provided is necessarily prior to their invention but rather that it is information known of at this time. Any question that may arise regarding priority of a specific reference shall be resolved during prosecution.

The enclosed document is being submitted for the express purpose of providing the Patent and Trademark Office with the opportunity to make an evaluation and to arrive at an independent assessment of materiality of each

document to the examination of this application. The Examiner is requested to disregard any markings on the document.

- 2 -

Applicants respectfully request that the information cited be made of record in the subject case.

IDENTIFICATION OF TIME OF FILING THE INFORMATION DISCLOSURE STATEMENT

The Information Disclosure Statement submitted herewith is being filed before the mailing of a first Office Action or within three months of its filing. No certification or fee is due with this filing of the Information Disclosure Statement. 37 C.F.R. 1.97(b).

Respectfully Submitted,

Arthur M. Reginelli, Reg. No. 40,139

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Attorney for Applicants

November 14, 2003

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| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 8-83) PATENT AND TRADEMARK OFFICE INTERMATION DISCLOSURE CITATION See several sheets if necessary) | | | ATTY. DOCKET NO. P01004US2A | | SERIAL 1 10/632 | | | |
| | | | APPLICANT Böhm et al. | | | | | |
| | | | FILING DATE August 1, 2003 | | GROUP | | | |
| TRADEMARKS | | U.S. F | PATENT | DOCUMENTS | | | | |
| *Examiner Initial | Document Number | Date | Name | | Class | Subclass | Filing Date (If Appropriate) | |
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| EXAMINER | DATE CONSIDERED | | | | | | | |
| *EXAMINER: In | itial if citation considere conformance and not co | d, whether o | or not citat | ion is in conformance | with MPEP 60 | 9; Draw li | ne through | |